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## UTILITY PATENT APPLICATION TRANSMITTAL

Signature

Attorney Docket No. 21460 US

First Inventor Malte Buchholz

Title Methods for Diagnosis

Fyrance Mail Label No. FI. 912161588 US

EL 912161588 US (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. Mail Stop Patent Application APPLICATION ELEMENTS Commissioner for Patents ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) 1. 🕢 (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) See 37 CFR 1.27. [Total Pages 34 3. 🔽 Specification Computer Reader Form (CRF) (preferred arrangement set forth below) Descriptive title of the invention Specification Sequence Listing on: Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D CD-ROM or CD-R (2 copies); or - Reference to sequence listing, a table, or a computer program listing appendix ii. 😼 - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) c. Statements verifying identity of above copies - Detailed Description **ACCOMPANYING APPLICATION PARTS** - Claim(s) - Abstract of the Disclosure 9. 🗀 Assignment Papers (cover sheet & document(s)) 4. Drawing(s) (35 U.S.C. 113) [Total Sheets 5 37 CFR 3.73(b) Statement 10. Power of (when there is an assignee) Attorney [Total Sheets 5. Oath or Declaration 11. English Translation Document (if applicable) a. Newly executed (original or copy) ✓ Copies of IDS Information Disclosure 12. 🔽 Statement (IDS)/PTO-1449 Citations b. Copy from a prior application (37 CFR 1.63(d)) **Preliminary Amendment** (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) (Should be specifically itemized) **DELETION OF INVENTOR(S)** Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) ceiv (if foreign priority is claimed) name in the prior application, see 37 CFR 16. 📖 Nonpublication Request under 35 U.S.C. 122 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 6. 🔽 Application Data Sheet. See 37 CFR 1.76 Other: ..... 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: did Continuation Divisional ☐ Continuation-in-part (CIP) of prior application No.: .. PTO Art Unit: Prior application information: Examiner For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box Listed 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The The Incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 00151 **6** OR L Correspondence address below **Customer Number:** Name Address City State Zip Code Fax Country Telephone Registration No. (Attorney/Agent) Name (Print/Type) 44,342 Lyman HA Smith

This collection of information of required by ST-CER 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Hoffmann-La Roche Inc. 340 Kingsland Street Nutley, NJ 07110 Case Docket 21460 US October 29, 2003

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the patent application of

Inventor(s): Malte Buchholz, Thomas Gress, Stephanie Loesch, and Ulrich Weidle

For: METHODS FOR DIAGNOSIS AND THERAPY OF PANCREATIC CANCER AND COMPOSITION USEFUL THEREIN

1. The fee has been calculated as shown below:

CLAIMS	· .				
FOR	NO. FILED	NO. EXTRA	RATE	FEE	
TOTAL CLAIMS	12 - 20	0	x \$18	\$0	*
INDEP. CLAIMS	9 - 3	6 .	x \$86	\$516	
MULTIPLE DEPENDENT CLAIMS PRESENTED + \$290					
	•	BASIC FEE		\$770	•
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## Certification under 37 CFR 1.10

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Papers Submitted for Case Docket 21460 US: Patent Application Transmittal Form, Application Data Sheet, Fee Transmittal Form, Specification, Declaration, Drawings, Sequence Listing, Diskette, Statement Under 37 CFR 1.821(e)